

## MEDIA ADVISORY

August 25, 2104

Levitt, Frankel, Gensler  
Certner, Kelleher, Roper, Garrett  
Lead Fiduciary September 2014 Events

Washington DC – The Institute for the Fiduciary Standard today announced the events for Fiduciary September 2014. Fiduciary September highlights the importance and status of fiduciary duties in investment advice. The events are listed below. For more information, contact Knut A. Rostad, 703-821-6616 x 429.

### **September 4, 2:00 -- 3:00PM ET**

"The State of Financial Regulatory Reform: Wall Street v The Fiduciary Duty and Core Investor Protections; Where We Are and What's Ahead"

David Certner, AARP  
Dennis Kelleher, Better Markets  
Barbara Roper, Consumer Federation of America  
Sheryl Garrett, Garrett Planning Network  
Tara Siegel Bernhard, New York Times, (Moderator)

*Conference Call: 857-232-0159, 626004*

### **September 8, 2:00 -- 3:00PM ET**

"Restoring Investor Trust in Wall Street, with Broker-Dealers and Investment Advisers"

John Taft, RBC Wealth Management  
Michael Falk, Focus Consulting Group  
Jack Waymire, Paladin Registry  
David Armstrong, WealthMangement.com, (Moderator)

*Conference Call: 857-232-0159, 626004*

### **September 9, Time TBA**

"Best Interest Investing," Podcast Launch

An interview with Vanguard Founder John C. Bogle, (Invited) by Don McDonald  
Conference Call: 857-232-0159, 626004

**September 11, 2:00 -- 3:00PM ET**

"Best Practices: What Are They, Why We Need Them"

Knut A. Rostad, Institute for the Fiduciary Standard  
Chris Cannon, First Financial, Best Practices Board  
James W. Watkins, Investsense.com  
Blaine Aikin, fi360

Conference Call: 857-232-0159, 626004

**September 15, 4:30PM -- 5:30PM ET**

"Briefing by Best Practices Board"

Bryan D. Beatty, Egan, Berger & Weiner  
Clark M. Blackman, Alpha Wealth Strategies  
Christopher Cannon, Firstrust  
Gregory Curtis, Greycourt & Company, Inc.  
William C. Prewitt, Charleston Financial Advisors  
Knut A. Rostad, Institute for the Fiduciary Standard

*Downtown Washington D.C., Location TBA*

**September 19, 10.30AM -- 1.30PM**

Frankel Fiduciary Prize Symposium and Award  
Honoring Gary Gensler  
Columbia Law School, New York

Arthur Levitt, Chairman, Securities & Exchange Commission, 1993 -- 2001  
Tamar Frankel, Boston University School of Law  
Gary Gensler, Chairman CFTC, 2009 -- 2014

More Information: [info@thefiduciaryinstitute.org](mailto:info@thefiduciaryinstitute.org)

**About the Institute for the Fiduciary Standard**

The Institute for the Fiduciary Standard formed as a non-profit organization in 2011 to provide research, education and advocacy on the vital role of the fiduciary standard for investors and the capital markets. For more information, visit [www.thefiduciaryinstitute.org](http://www.thefiduciaryinstitute.org).

**About TD Ameritrade Institutional**

TD Ameritrade Institutional is a leading provider of comprehensive brokerage and custody services to nearly 4,500 fee-based, independent Registered Investment Advisors and their clients. Our advanced technology platform and outstanding personal support allows advisors to run their businesses more efficiently and optimize time spent with clients.

*TD Ameritrade Institutional, Division of TD Ameritrade, Inc., member FINRA/SIPC/NFA*